

**14 JUN 1994**

**08/244857**


I hereby certify that this paper of fee and the papers indicated as being attached hereto are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. §1.10 on the date indicated above and are addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Ruth I. Sabula

(Typed or printed name of person mailing)

*Ruth I. Sabula*  
(Signature of person mailing)

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371</b>		<b>ATTORNEY DOCKET NUMBER P41 9755</b>
INTERNATIONAL APPLICATION NO. PCT/US92/11214	INTERNATIONAL FILING DATE 18 December 1992	PRIORITY DATE CLAIMED 18 December 1991
<b>TITLE: MEANS FOR THE MODULATION OF PROCESSES MEDIATED BY RETINOID RECEPTORS AND COMPOUNDS USEFUL THEREFOR</b>		
APPLICANT FOR DO/EO/US: Ronald M. Evans, David J. Mangelsdorf, Richard A. Heyman, Marcus F. Boehm, Gregor Eichele, Christina Thaller		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<div style="margin-left: 20px;"><div>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. §371.</div><div>2. <input type="checkbox"/> This is a <b>SECOND OR SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. §371.</div><div>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).</div><div>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</div><div>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2)):<div style="margin-left: 20px;"><div>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</div><div>b. <input type="checkbox"/> have been transmitted by the International Bureau.</div><div>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div></div><div>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. §371(c)(2)).</div><div>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)):<div style="margin-left: 20px;"><div>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</div><div>b. <input type="checkbox"/> have been transmitted by the International Bureau.</div><div>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</div><div>d. <input checked="" type="checkbox"/> have not been made and will not be made.</div></div></div><div>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).</div><div>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).</div><div>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).</div></div>		
Items 11 to 16 below concern other documents or information included:		
<div style="margin-left: 20px;"><div>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. §§1.97 and 1.98.</div><div>12. <input checked="" type="checkbox"/> Three assignment documents for recording. Three separate cover sheets in compliance with 37 CFR 3.28 and 3.31 are included.</div><div>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.<div style="margin-left: 20px;"><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</div></div><div>14. <input type="checkbox"/> A substitute specification.</div><div>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</div><div>16. <input type="checkbox"/> Other items of information.</div></div>		

APPLICATION NO.		INTERNATIONAL APPLN. NO. PCT/US92/11214		ATTORNEY DOCKET NUMBER P41 9755	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO <span style="float: right;">\$ 830.00</span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) <span style="float: right;">\$ 640.00</span>  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) <span style="float: right;">\$ 710.00</span>  Neither international preliminary examination fee paid to USPTO (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO <span style="float: right;">\$ 950.00</span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Articles 33(2)-(4) <span style="float: right;">\$ 90.00</span>					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 830.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00	
Claims	Number Filed	Number Extra	Rate		
Total claims	21	1	22	\$ 22.00	
Independent claims	8	5	74	\$ 370.00	
Multiple dependent claims				\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,222.00	
Reduction by 1/2 for filing small entity. Verified Small Entity Statement must also be filed.				\$ ---	
SUBTOTAL =				\$1,222.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$1,222.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property.				\$ 120.00	
TOTAL FEES ENCLOSED =				\$1,342.00	
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1,342.00 to cover the above fees is enclosed. A duplicate of this sheet is enclosed. b. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 16-2460. A duplicate copy of this sheet is enclosed.					
SEND ALL CORRESPONDENCE TO:  Stephen E. Reiter Pretty, Schroeder, Brueggemann & Clark 444 South Flower Street, Suite 2000 Los Angeles, California 90071 (619) 546-4737					
				 SIGNATURE  Stephen E. Reiter NAME  31,192 REGISTRATION NUMBER	

05 Rec'd PCT/PTO

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14 JUN 1994

DOCUMENT: THREE ASSIGNMENTS  
DOCKET NO.: P41 9755

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER TB399176074US

DATE OF DEPOSIT 14 June 1994

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231.

Ruth I. Sabula

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